

APPENDIX 2.2 TO THE BROKERAGE REGULATIONS: CLIENT QUESTIONNAIRE FOR LEGAL ENTITIES

Client Questionnaire for Legal Entities

Important Notice

Being a Company acting under the Laws of Saint Vincent and the Grenadines and observing the applicable laws, by-laws, rules, and regulations adopted by authorities, domestic and international regulatory agencies and professional associations, we are required to protect our client's interests, for which purpose we believe our primary tasks are to understand our clients, the nature of their business, financial position, sources of funds, and to ensure that we understand fully their investment objectives, knowledge and experience in investment sphere, and also in certain financial product or service.

Thus, you certify that all statements made in this Client's questionnaire (the "Questionnaire") and all information, documents or others, provided to us (hereinafter referred to as the "Information") is complete, true and accurate, and that it is provided upon your initiative. You agree to be fully liable for the provided Information, and do not object that the Information is being collected also to confirm your business reputation according to practices applicable in international securities markets.

You also agree that according to the Information provided by you in this Questionnaire and other documents, we may after reviewing your circumstances consider you as a professional client and work with you as a professional client, unless another agreement is reached. In any event, the Company reserves the right to assume any possible measures for checking the reliability of the Information, specified by you in the Questionnaire, particularly to request supporting documents and also additional information and documents for the purpose of your classification in definite category of clients, and you hereby certify your consent to provide such documents and information.

You shall be responsible for notification to the Company of any modifications of the Information that may influence your classification to the definite category of clients.

The Information provided in this Questionnaire shall be kept confidential and shall not be disclosed by us to a third party without your consent other than by explicit requirement under the applicable laws. You agree and acknowledge that if it is required to disclose any of such information, we shall, without your additional consent, submit information only to the extent required by the appropriate regulations and only to the person specified in such regulations.

If you do not provide information or provide insufficient information pursuant to paragraph 1 of the present notice, this may not allow the Company to determine appropriateness of specific services and/or products and result in relevant restrictions in their providing by the Company, including failure to conclude the Brokerage Agreement with you.

Part A - Application Form

Registration Details of the Company

Full Company name ("the Company"):

Trading Name:

Date of incorporation: ____ / ____ / ____

(dd/mm/yyyy)

Country of incorporation: _____

Registration number: _____

Registration authority: _____

Registered Office Address

Street / No.: _____

Town/City: _____

Postcode: _____

Country: _____

Telephone: _____

E-mail: _____

Fax: _____

Principle Place of Business (if different from Registered Office)

Street / No.: _____

Town/City: _____

Postcode: _____

Country: _____

Telephone: _____

E-mail: _____

Fax: _____

Contact Person(s)

Full Name: _____

Position: _____

Telephone: _____

E-mail: _____

Fax: _____

Full Name: _____

Position: _____

Telephone: _____

E-mail: _____

Fax: _____

Regulatory Information

The Entity is Tax Resident of the country/ies stated below:

Country: _____

Tax Identification Number (TIN): _____

Country: _____

Tax Identification Number (TIN): _____

If no TIN is provided, select one of the reasons below:

- Country does not issue TIN
- TIN is not required by the domestic law
- Unable to obtain a TIN, specify the reason:

Legal entity type

<input type="checkbox"/> Corporate entity with shares in Registered form	<input type="checkbox"/> Unincorporated businesses, partnerships and other legal persons with no separate legal status
<input type="checkbox"/> Corporate entity with shares in Bearer form	<input type="checkbox"/> Non-commercial unions, societies, clubs, provident funds and charities
<input type="checkbox"/> Private limited liability company with Shares	<input type="checkbox"/> UCITS and their management companies
<input type="checkbox"/> Trust	<input type="checkbox"/> Pension Fund and management companies of such funds
<input type="checkbox"/> National or regional government or a public body	<input type="checkbox"/> International institution such as World Bank, IMF etc
<input type="checkbox"/> Listed Company	<input type="checkbox"/> Segregated Portfolio Company
<input type="checkbox"/> Other (please provide clear description of your legal status): _____	

Provide a detailed description of the Company's business activities:

Economic Profile

Financial Information

Annual Turnover	Annual Net Income	Amount to be invested in next 12 months
<input type="checkbox"/> ≤ \$ 100,000	<input type="checkbox"/> ≤ \$ 100,000	<input type="checkbox"/> ≤ \$ 100,000
<input type="checkbox"/> ≤ \$ 1,000,000	<input type="checkbox"/> ≤ \$ 1,000,000	<input type="checkbox"/> ≤ \$ 1,000,000
<input type="checkbox"/> ≤ \$ 10,000,000	<input type="checkbox"/> ≤ \$ 10,000,000	<input type="checkbox"/> ≤ \$ 10,000,000
<input type="checkbox"/> Other \$ _____	<input type="checkbox"/> Other \$ _____	<input type="checkbox"/> Other \$ _____

Type of Income to be invested (you can choose more than 1 option)

- Business profits / free cash / retained earnings
- Earnings from investments
- Proceeds from Loan financing
- Equity contributions of shareholders
- Clients' funds / assets
- Other, please specify:

State the type of supporting documentary evidence that can be provided to evidence the Company's source of funds:

<input type="checkbox"/>	Audited Financial Statements
<input type="checkbox"/>	Un-audited Financial Statements (i.e. management accounts)
<input type="checkbox"/>	Tax Returns
<input type="checkbox"/>	Documents related to the Company's activity, including sale and purchase agreements, consultancy agreements and etc as well as the Company's bank statements showing the receipt of funds from the Company's counterparts
<input type="checkbox"/>	Documents related to earnings from investments, including the relevant bank/broker statements showing the available funds as well as supporting source of funds documents related to the initial investment amount
<input type="checkbox"/>	Loan from the Company's Shareholder, including the relevant Loan Agreement, the Company's bank statements showing the receipt of funds from the Shareholder as well as the source of funds documentation of the Shareholder
<input type="checkbox"/>	Other. Please specify: _____

Bearer shares

Do you currently issue bearer shares?

YES NO

Number of employees

- 0 – 1
- 2 – 5
- 6 – 10
- 11 – 20
- more than 20

Directors of the Company

Details of all Directors of the Company:

Name	Country of residence	Date of Birth	Passport No. / Country of Issue

Shareholders / Beneficial Owners of the Company*

* Each Shareholder / Beneficial Owner who is a natural person should complete **Part B** of this Questionnaire.

If any shareholder is a legal entity, please proceed with the **Part C** as well

Name	Country of residence / registration	Ownership %	Passport No. / Registration No.	Country of Issue

Declarations

<input type="checkbox"/> YES <input type="checkbox"/> NO	Do any of the Directors / shareholders / beneficial owners / authorised signatories/representatives or their immediate family members or close associate is/are PEP (Politically Exposed Person - an individual with a high profile political role, or someone who is or has been entrusted with a prominent public function)? <i>(i.e. head of State, head of government, minister or deputy or assistant minister; member of parliament; member of supreme courts, of constitutional courts or of other high-level judicial bodies; member of courts of auditors or of the boards of central bank; ambassador; high-ranking officer in the armed forces; member of the administrative, management or supervisory bodies of State-owned enterprises)</i>
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Date: _____

(name of the Client)

Name: _____

Title: _____

(Signature / Seal)

Part B - Shareholder / Beneficial Owner's Details (Individuals)

Identification Information

<input type="checkbox"/> Shareholder	Direct Holding (%)		<input type="checkbox"/> Beneficial owner	Direct Holding (%)	
	Indirect Holding (%)			Indirect Holding (%)	

First Name	
Last Name	
Middle / Initials	

Passport No.	
Country of Issue	
Additional Passport Country (if any)	
Date of Birth	Country of Birth

Personal Declaration

1. Do you hold or held in the last 12 months any prominent public functions (i.e. head of State, head of government, minister or deputy or assistant minister; member of parliament; member of supreme courts, of constitutional courts or of other high-level judicial bodies; member of courts of auditors or of the boards of central bank; ambassador; high-ranking officer in the armed forces; member of the administrative, management or supervisory bodies of State-owned enterprises)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
2. Does any of your immediate family member or your close associate hold such a public function within the last 12 months?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
3. Are you a shareholder or a Director of legal entity involved in the Electronic gambling / gaming activities through the internet?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
4. Are you a shareholder or a Director of a legal entity which offer services (e.g. payment provider, software house, card acquirer) to persons involved in Electronic gambling /gaming activities through the internet?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
5. Have you ever been convicted of a criminal offense?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
6. Have you ever been disqualified from acting as a company director?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
7. Have you ever declared bankruptcy?	<input type="checkbox"/> YES	<input type="checkbox"/> NO

Regulatory Information

I am a Tax Resident of the country/ies stated below:

Country: _____ Tax Identification Number (TIN): _____

Country: _____ Tax Identification Number (TIN): _____

If no TIN is provided, select one of the reasons below:

- Country does not issue TIN
- TIN is not required by the domestic law
- Unable to obtain a TIN, specify the reason:

Financial Profile

Profession / Occupation	
Annual gross income (\$)	
Income source	<input type="checkbox"/> Salary
	<input type="checkbox"/> Dividends
	<input type="checkbox"/> Rental income
	<input type="checkbox"/> Interest
	<input type="checkbox"/> Other Income (specify):

I, _____, in my capacity of **Shareholder**
Beneficial Owner of

HEREBY CONFIRM THE ABOVE INFORMATION IS COMPLETE, TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Signature: _____

Date: _____

Part C – Shareholders who are Legal Entities

If any shareholder is a legal entity please provide a breakdown of the individual shareholding (> 10%) of that legal entity in the table below, along with a corporate group structure up to the beneficial owner(s):

Company / Legal Entity name (1):

Name	Country of residence / registration	Ownership %	Passport No. / Registration No.	Country of Issue / Registration

Company / Legal Entity name (2):

Name	Country of residence / registration	Ownership %	Passport No. / Registration No.	Country of Issue / Registration

Company / Legal Entity name (3):

Name	Country of residence / registration	Ownership %	Passport No. / Registration No.	Country of Issue / Registration

Name: _____

Title: _____

Date: _____

Date: _____

(Signature / Seal)

Expected Investments:

Duration of Expected Investment	Expected Frequency of transactions per year	Expected Level of Investment risk
<input type="checkbox"/> Day trading	<input type="checkbox"/> 1-5	<input type="checkbox"/> Low (3%-5%)
<input type="checkbox"/> 6 - 12 months	<input type="checkbox"/> 5-10	<input type="checkbox"/> Average (5%-8%)
<input type="checkbox"/> 1 – 3 years	<input type="checkbox"/> 10-50	<input type="checkbox"/> Above Average (8%-15%)
<input type="checkbox"/> 3 – 5 years	<input type="checkbox"/> 50-99	<input type="checkbox"/> High (15%-20%)
<input type="checkbox"/> 5 – 10 years	<input type="checkbox"/> 100-500	<input type="checkbox"/> Very High (>20%)
<input type="checkbox"/> > 10 years	<input type="checkbox"/> 500+	

Nature of Expected transactions / financial instruments

<input type="checkbox"/> Transferable Securities	<input type="checkbox"/> Derivative instruments
<input type="checkbox"/> Corporate Bonds	<input type="checkbox"/> Contracts for differences
<input type="checkbox"/> Government or municipal bonds	<input type="checkbox"/> Forex
<input type="checkbox"/> Promissory notes	<input type="checkbox"/> Options, futures, swaps, FRAs relating to climatic variable, inflation rates etc
<input type="checkbox"/> UCTIS	<input type="checkbox"/> Repos
<input type="checkbox"/> Options, futures, swaps, FRAs relating to securities	<input type="checkbox"/> Other instrument: _____
<input type="checkbox"/> Options, futures, swaps FRAs relating to commodities that must be settled in cash	<input type="checkbox"/> Other instrument: _____
<input type="checkbox"/> Options, futures, swaps, FRAs relating to commodities that can be physically settled and also they are traded	<input type="checkbox"/> Other instrument: _____

Trading via Internet and additional electronic services

If yes, please specify:

Type _____ of _____ account
(s): _____

Currency

(ies): _____

Online _____ trading _____ platform _____ system _____ (s)

(optional): _____

Code word (possible with numbers). Please indicate to enable you to place of Orders by phone:

Client Authorisation

Additional income on uninvested balances of monetary funds and financial instruments.

The Client hereby accepts, agrees, gives its explicit consent and authorise the Company to perform all necessary actions with regard to additional income generated on uninvested balances of monetary funds and financial instruments.

∨ Activate the service "REPO"

Hereby the Client provides its expresses consent and gives its order that authorizes the Company to conclude REPO transactions with all available, unutilized and unblocked financial instruments on client's brokerage account. When these financial instruments are needed back in its brokerage account the Company will undertake all necessary steps to withdraw necessary financial instruments from REPO and transfer them back to client's brokerage account without any delay.

Client Confirmation

- The Client hereby gives its approval and consent to all present Client confirmations:
- ✓ This account will be used to trade and/or invest on Client's behalf as the account holder.
 - ✓ The account holder has never been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority.
 - ✓ The account holder has never been the subject of initiated litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer.
 - ✓ The account holder confirms that is not a member of an exchange or a regulatory or a self-regulatory organization, or an associated person, affiliated person or employee of an exchange member.

Client Declaration

- The Client gives its approval and consent to all present Client Declarations:
- ✓ The Client agrees that Lime Ltd will provide to the Client with the information regarding investments.
 - ✓ The Client agrees that its client information, including account information, investments etc., submitted by the Client when registering as a client with Lime Ltd or later may be disclosed to partners of Lime Ltd. The Clients agrees that Lime Ltd and its related parties may send communications about offers and promotions.
 - ✓ The Client declares that acts on its own name as specified above and not on behalf of a third party in respect of all matters related to this client relationship. Accordingly, all funds to be deposited and traded on the account with Lime Ltd are the clients own funds.
 - ✓ The Client is aware of FATCA (Foreign Account Tax Compliance Act) provisions and obligations, which became enforceable in Saint Vincent and the Grenadines upon Saint Vincent and the Grenadines signing the relevant Intergovernmental Agreement and has further been incorporated into domestic legislation. The Client is aware and acknowledge that FATCA requires Financial Institutions to report information relating to reportable accounts as provided in the Intergovernmental Agreement to the Saint Vincent and the Grenadines Tax Department.
 - ✓ The Client is aware of CRS (Common Reporting Standard) provisions and obligations which became enforceable in Saint Vincent and the Grenadines upon the Saint Vincent and the Grenadines signing the Multilateral Competent Authority Agreement on Automatic Exchange of Financial Account Information and has further been incorporated into domestic legislation as amended from time to time. The Client is aware and acknowledges that the information contained in this form and information regarding its account(s) may be reported to the Saint Vincent and the Grenadines Tax Department and the Saint Vincent and the Grenadines Tax Department may in turn exchange such information with the tax authorities of other countries in which the Client may be a tax resident, where those countries have entered into agreements to exchange financial account information.
 - ✓ The hereby the Client confirm that it is not directly and/or indirectly involved in any criminal activity in any conduct or money laundering activity or terrorist financing activity, its wealth and income proceeds are legitimate.

Client Consent

The Client hereby gives its approval and express consent to all present Client Consents:

- ∨ The Client declares that it gives its express consent to the following provisions and policies:
 - Terms and Conditions for the Provision of Services
 - Client orders may be executed outside of a Regulated Market or Multilateral Trading Facility (MTF)
 - Client Limit Orders which have not been immediately executed under prevailing market conditions may not be made public (unless instructed otherwise by you in writing).
 - Client agrees to communicate in a durable medium other than on paper including electronic means such as email or Company web site. In this respect Client confirms that he/she has regular access to the internet.
 - After reviewing all circumstances on an individual basis the Company may consider the Client as a professional client and work with it as a professional client, unless another agreement is reached.
- ∨ The Client has carefully read and fully understood the entire text of the present Brokerage Regulations and policies included thereon.
- ∨ The Client declares and understands that trading in derivative are in line with its investments objectives and risk profile, including possibility of unlimited financial losses that might be associated with the risky investments in derivatives.
- ∨ The Client further acknowledges that trading with financial instruments on financial market may involve significant risk of loss and it is not suitable for all investors, that the value of the investments can both increase and decrease and the investors may lose all their investment capital. The Client acknowledges that in case of a leveraged product, the loss may be more than the initial capital invested and any financial success of other parties doesn't guarantee the same result for investor.

Client Declarations

The Client declares and confirms the following:

- ∨ The Client certifies that it is authorized to sign for the Company in respect of all the accounts to which this form relates.
- ∨ The Client confirms that all statements made in this declaration are correct and complete
- ∨ The Client undertakes to advise Lime Ltd immediately of any change in circumstances which causes the information herein to become incorrect.
- ∨ The Client agrees to be bound by any provisions included in this form.

Complex Instruments Risk Warning Notice

This notice cannot disclose all the risks and other significant aspects of complex instruments. You should not deal in these products unless you understand their nature and the extent of your exposure to risk. You should also be satisfied that the product is suitable for you in the light of your circumstances and financial position.

Although Complex instruments can be utilized for the management of investment risk, some of these products are unsuitable for many investors. Different instruments involve different levels of exposure to risk and in deciding whether to trade in such instruments you should be first make acquainted yourself with the risks associated with the investments as disclosed in the Brokerage Regulations.

Appropriateness

Complex instruments are derivative products for which special risks apply. Investors are required to provide information regarding their knowledge and experience in these products so as to enable the Company to make

an assessment of whether such products are appropriate for you. Your responses to the questions in the Questionnaire will determine whether trading in complex instruments is an appropriate service for you.

Declaration

The Client acknowledges that this appropriateness test is in place for its protection and confirm that the answers have provided are truthful.

The Client understands the risks involved in dealing in complex instruments, and declare that it has sufficient knowledge about the product and it is able to assess the merits and declare that the product is appropriate in relation to its investment needs and abilities.

The Client also confirms that complex instruments are suitable for the Client, given its investment objectives and attitude to risk and that the Client meets the experience and expertise conditions.

Date: _____

(name of the Client)

Name: _____

Title: _____

(Signature / Seal)